IG Concept

Inspector General

Concept and System

U.S. Army Inspector

IG Concept

Reference:

AR 20-1, *Inspector General Activities and Procedures*

Chapters 1, 2, 3, and 5

ELO

Enabling Learning Objectives (ELOs)

***Advance Sheets*, page 5**

Enabling Learning Objectives

IG Concept

- **Describe the organization of the Army IG syst**
- **Identify the four functions that IGs perform.**
- **Describe the legal origins of IG authority.**
- **Describe the role of the IG.**
- **Describe IG technical channels.**

Enabling Learning Objectives
(continued)

IG Concept

- **Describe the IG's sphere of activity.**

- **Describe the categories of IGs and the responsibilities inherent in each category.**

- **Describe the duty restrictions and special considerations of Administrative Support Personnel.**

- **Describe the duty restrictions of IGs.**

- **Describe the IG oath.**

IG Concept

The Inspector General

"The one who goes in after the battle and bayonets the wounded."

- Anonymous

The U.S. Army IG System

ELO

IG Concept

- **Comprised of four basic Inspector General functions:**
  - **Inspections**
  - **Assistance**
  - **Investigations**
  - **Teaching and Training**
- **Inspectors General execute this system through two basic processes:**
  - **The Inspections Process**
  - **The Inspector General Action Process (IGAP)**

IG Functions

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IG Concept

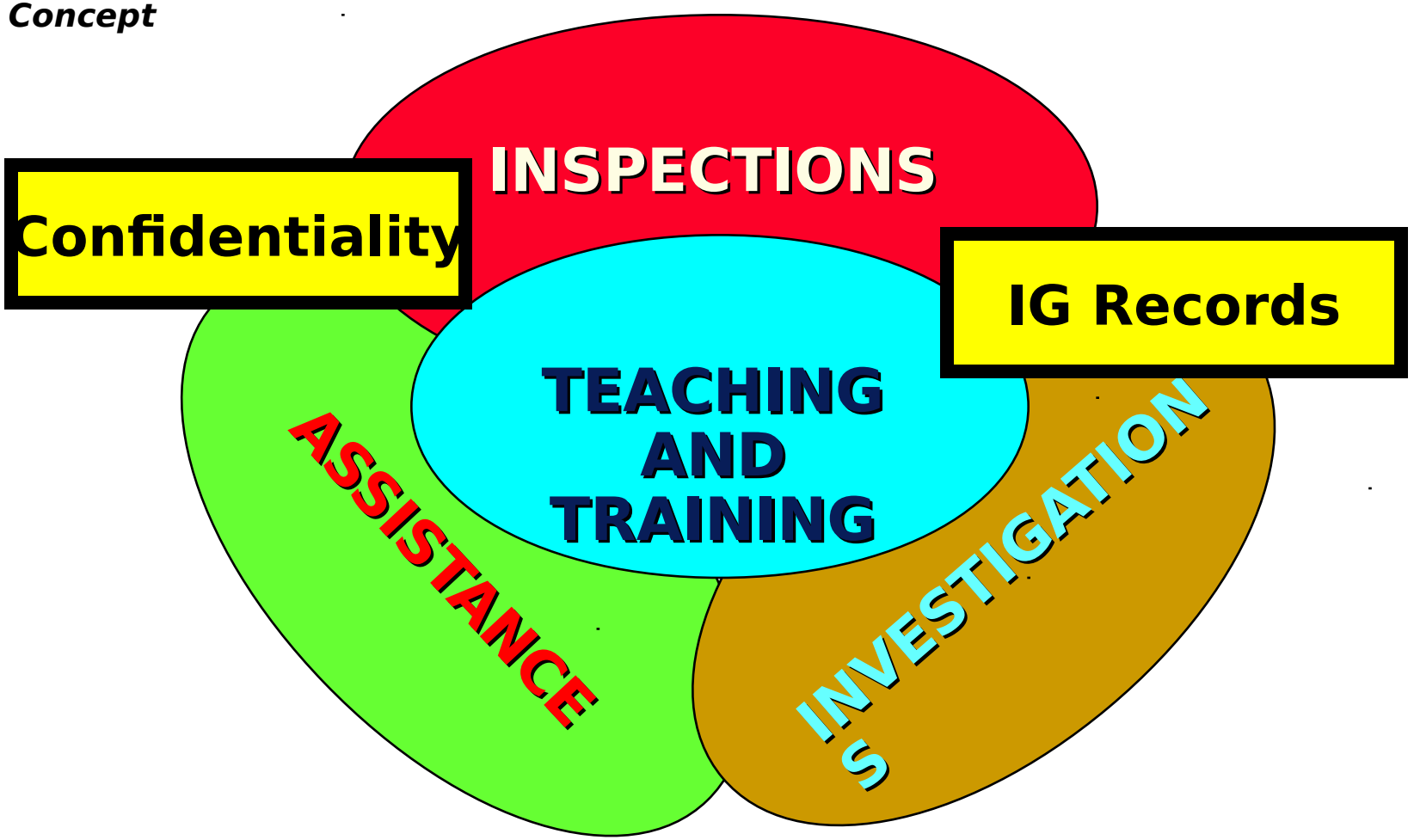
- **Inspections, Assistance, and Investigations are fact-finding functions**

- **IGs base their fact-finding activities upon standards such as laws, DoD Directives, Army Regulations, policies, and so on.**

IGs serve their commanders and organizations through the IG functions!

IG Functions

IG Concept



Confidentiality

IG Concept

- **Tenet of IG operations**
- **Complainants expect confidentiality**
- **Confidentiality = safeguarding identities (nature of the complaint and protection against reprisal)**
- **Intent =**
  - ✓ **Protect privacy**
  - ✓ **Maintain confidence in the IG system**
  - ✓ **Minimize the risk of reprisal**
- **IG's duty is to ***PROTECT*** confidentiality**
- ***Confidentiality is never guaranteed!***

IG Records

IG Concept

- **IG Records are protected and governed by specific rules set forth in AR 20-1, Chapter 3.**
- **All IG Records belong to the Secretary of the Army.**
- **The designated release authority for all IG Records is the The Inspector General.**
- **Most information gathered by an IG performing any IG function becomes an IG Record.**
- **IG Records are *redacted* for all attribution before release for official use.**

The Army IG System

comprises . . .

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IG Concept

- ***All Army IGs over which TIG has policy oversight, directing authority, and records retention.***
- **You will find IGs at:**
  - ✓ **Commands (Divisions, Corps, and some Brigades) and States**
  - ✓ **Headquarters, Department of the Army (HQDA)**
  - ✓ **Field Operating Agencies (FOAs)**
  - ✓ **Army Commands (ACOMs)**
  - ✓ **Army Service Component Commands (ASCCs)**
  - ✓ **Direct-Reporting Units (DRUs)**
  - ✓ **Wartime General Officer Major Subordinate Commands (MSCs)**
  - ✓ **Installations and Area Support Groups (ASGs)**

IGs exist in the Active Army, the Army Reserve, and the Army National Guard!

The Federal IG System

IG Concept

1978 IG Act

- **Established IGs at Federal agencies (except Department of Defense, or DoD)**
- **Detect and prevent fraud, waste, abuse, and mismanagement**
- **Mostly *auditors* and *criminal investigators***
- **Report to the agency head and Congress**

1983 DoD Authorization Act (amended the 1978 IG Act)

- **Created IG, DoD**
- **Appointed by the President and approved by Congress**

Inspector General Mission
Title 10, U.S. Code, Section 3020

ELO

IG Concept

- **Periodically propose programs of inspection to the Secretary of the Army and shall recommend additional inspections and investigations as may appear appropriate.**

- ***Cooperate fully with the IG, DoD,*** **in connection with the performance of any duty or function of the IG, DoD.**

Inspector General Mission

Title 10, U.S. Code, Section 3020

(continued)

IG Concept

- **Inquire into and report upon the *discipline, efficiency,* and *economy* of the Army.**

- **Perform other duties prescribed by the Secretary or the Chief of Staff of the Army.**

Current Statutory Guidance

IG Concept

10 USC 3014 — Aligns TIG under the Secretary of the Army as part of the Goldwater-Nichols Reorganization Act of 1986

10 USC 3020 — Establishes The Inspector General (TIG) within the Army and assigns TIG sole responsibility for IG functions, outlines the statutory mission of TIG, and addresses cooperation between TIG and IG, DoD

10 USC 3065 — Permits detailing of commissioned officers of the Army as IGs

32 USC 315 — Permits the detailing of commissioned Regular Army officers to duty with the Army National Guard

IG Concept

Mission Comparison

10 USC 3020 versus AR 20-1

- **Inquire into and report upon the discipline, efficiency, and economy of the Army.**

- **Inquire into, and periodically report upon, the discipline, efficiency, economy, morale, training, and readiness of the Army to the Secretary of the Army (SA) and the Chief of Staff, Army (CSA).**

AR 20-1, paragraph 1-4a (1)

IG Concept

Regulatory Guidance for IG Training

- **AR 20-1, paragraph 1-4 a (8) (a): TIG will conduct the Inspector General Course for personnel selected as IGs.**

- **AR 20-1, paragraph 5-4 e: Graduation from TIGS is a prerequisite for detailed IGs and assistant IGs before performing IG functions.**

- **AR 600-100, *Army Leadership*, paragraph 2-9 b: IGs will … assist the commander in teaching and training leaders on the fundamental tenets of the Army ethic.**

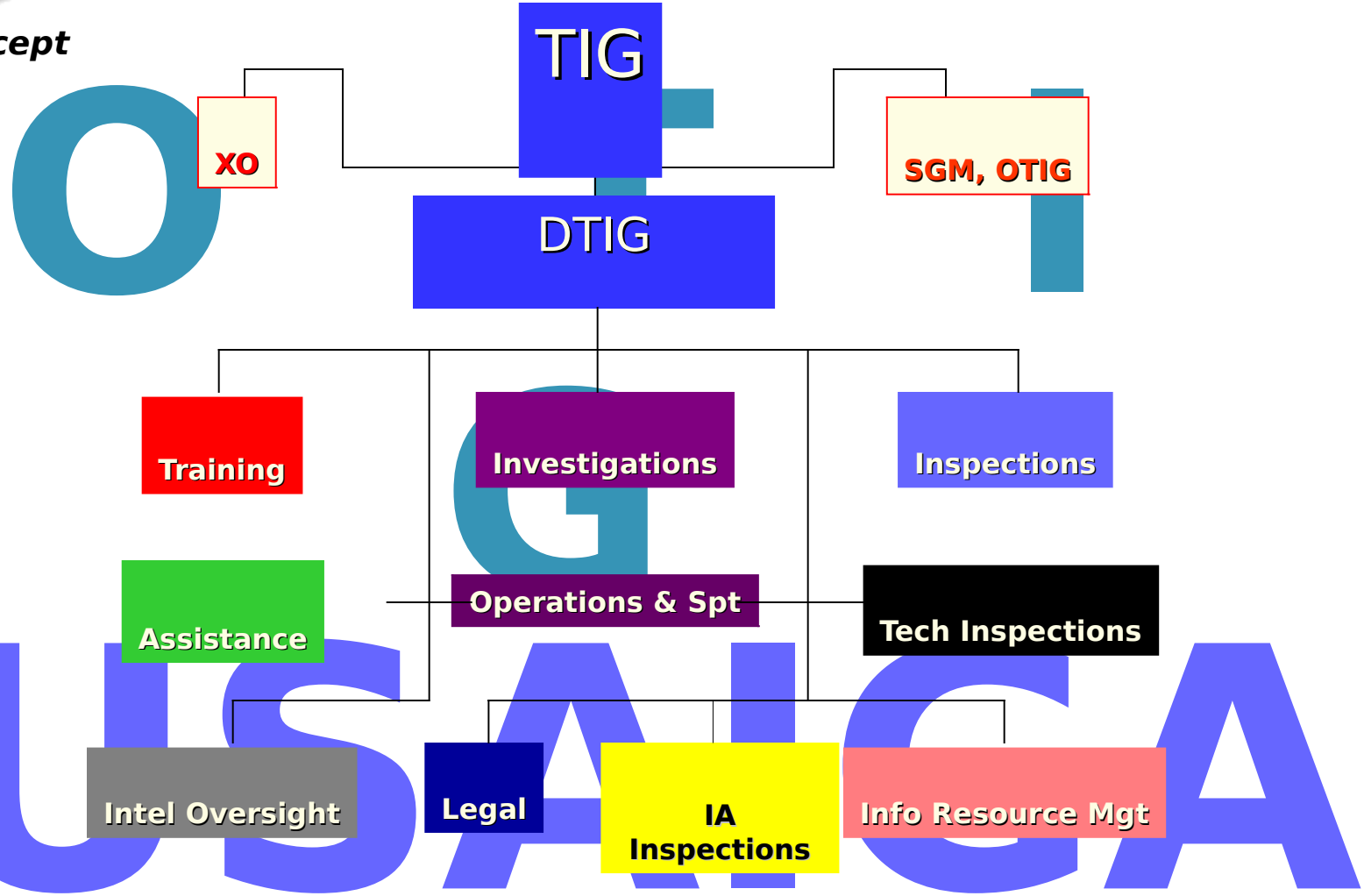
Department of the Army IG (DAIG)

IG Concept

- The Inspector General (TIG)
- Office of the Inspector General (OTIG)
- U.S. Army Inspector General Agency (USAIGA)
- DAIG = OTIG + USAIGA
- HQDA = Secretariat and Army Staff
- SAIG = office symbol for DAIG

DAIG Organization

IG Concept



The Role of the IG

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IG Concept

The Inspector General is an extension of the eyes, ears, voice, and conscience of the

This axiom means that, to be effective, the IG must understand the Commander's goals, expectations, intent, standards, vision, operating methods, and personality.

The Role of the IG

Extend the Commander

IG Concept

- **Member of the Commander's Personal Staff**
- **Perform common staff functions (We don't concur!)**
- **Special Relationship**
- **Fair and impartial fact-finder**
- **High degree of independence**
- **IG works for the Commander**
- **Rated by the Commander**
- **But the TIG has policy oversight, directing authority, and IG records-retention authority**

The Role of the IG

Extend the Commander

(continued)

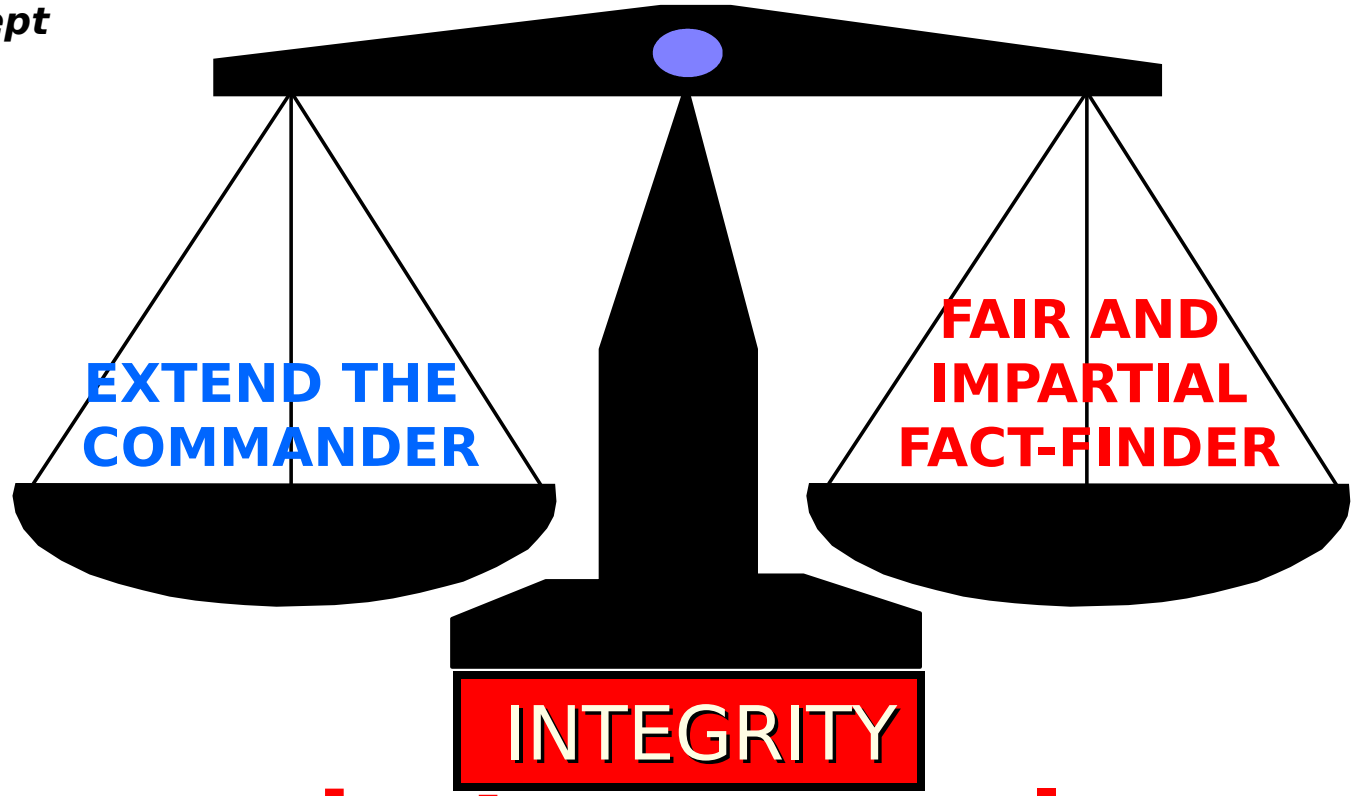
IG Concept

The authority of the IG comes from the Commander!

- **IGs have no directive authority outside the IG System**
- **IGs do not establish command policy except for AR 1-201 and AR 20-1**
- **IGs have access to all needed materials and records except for *classified material* (if the IG lacks the proper clearance) *or as specified in paragraph 8-4 g (material related to the attorney-client relationship, communi-cations with clergy, husband-wife communications, psychotherapist-patient communications, etc.).***

A delicate balance ...

IG Concept



. . . but a very clear distinction.

U.S. Army Inspector

IG Technical Channels

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IG Concept

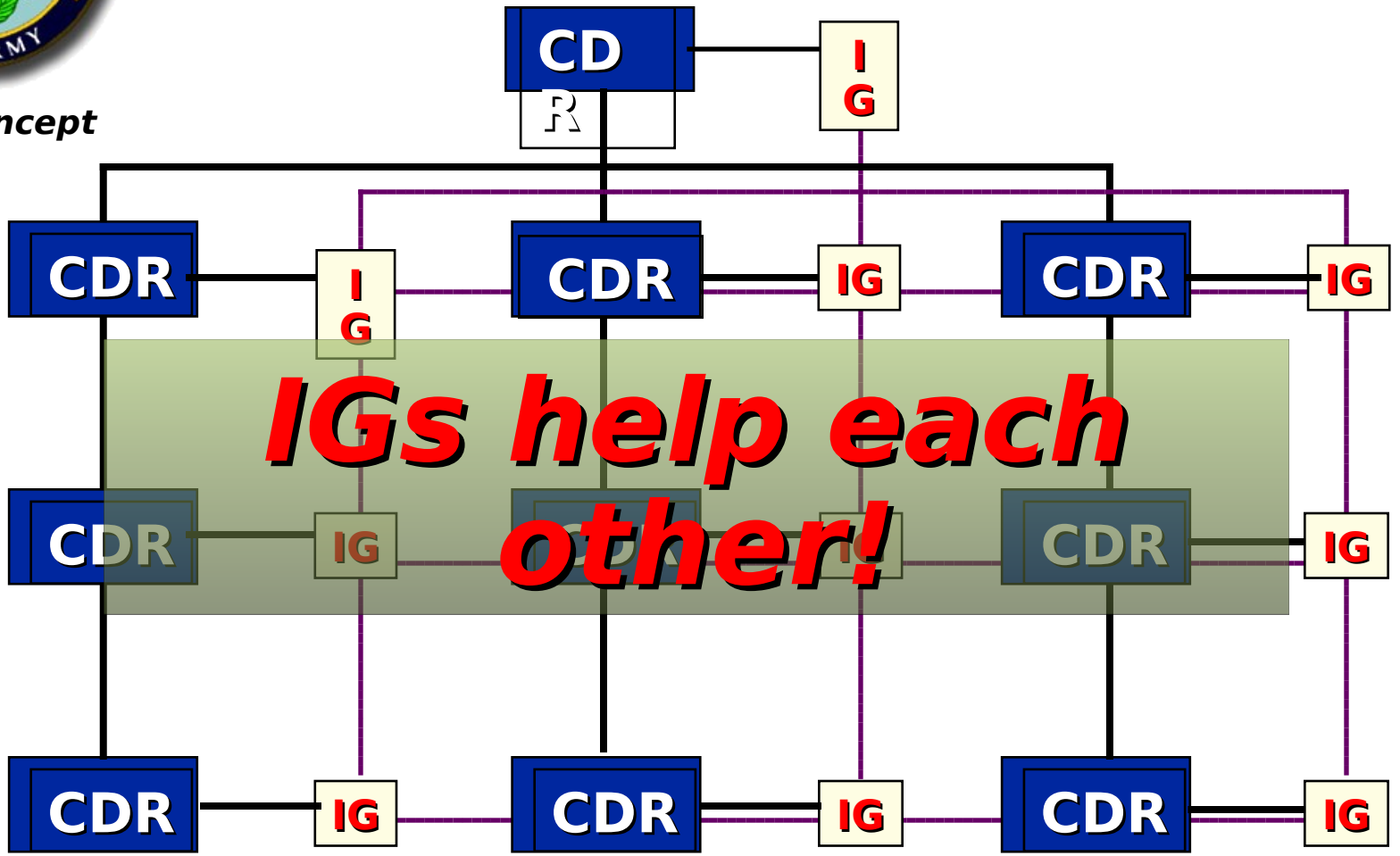
- Relationship among detailed IGs
- Confidential channel for passing information
- Used to request and provide assistance between IGs formally and informally
- Multiple communications means
- Worldwide IG Network (IGNET)
- Worldwide IG Directory

IG Records

Share IG Opinions and IG Products

IG Technical Channels

IG Concept



= Technical Channels

= Command Channels

IG Sphere of Activity

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IG Concept

- **Includes everything for which the Commander is responsible**
- **Determines IG case jurisdiction**

The command is the IG's constituency!

IG Credibility

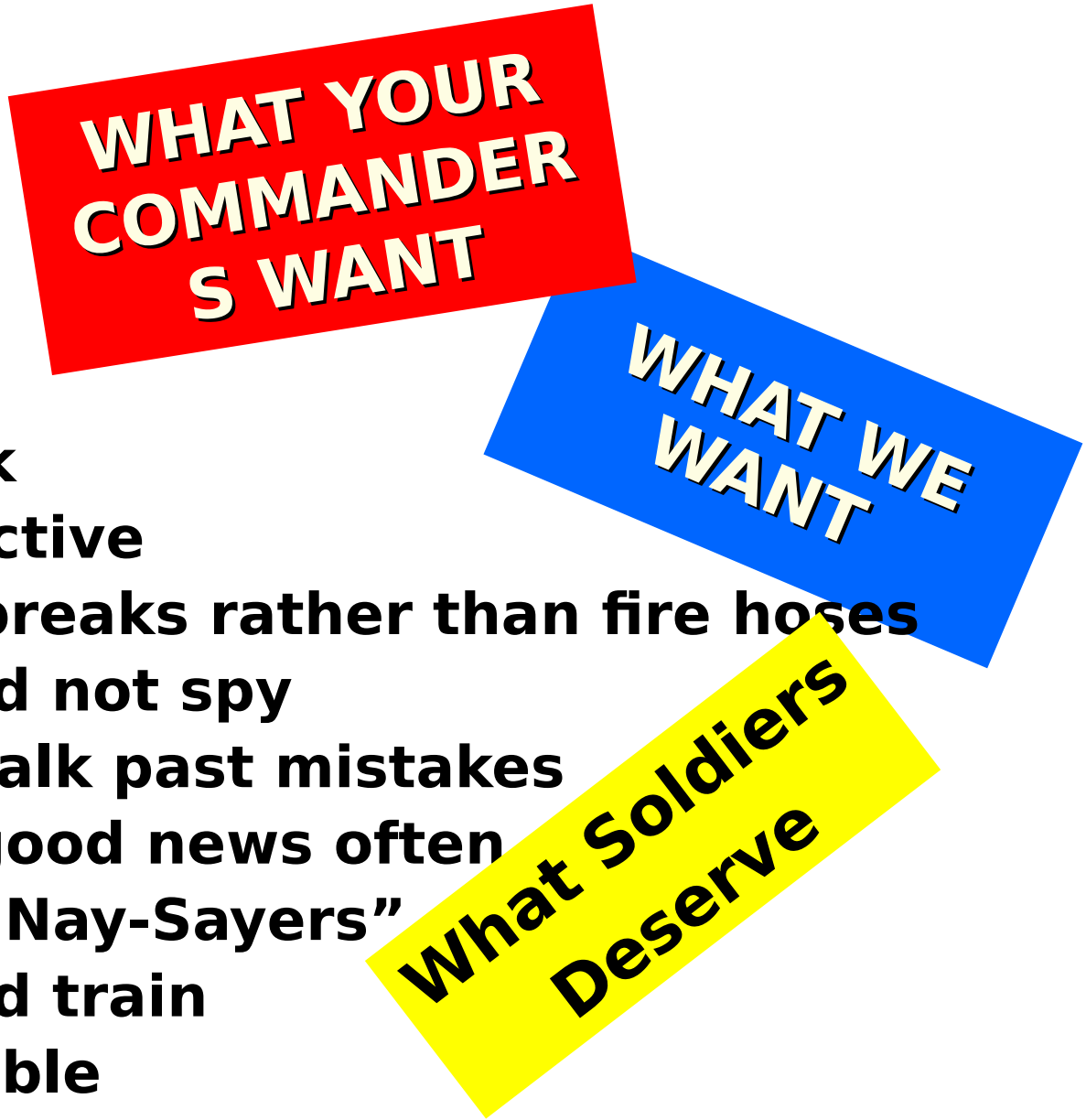
Credibility directly impacts your effectiveness!

IG Concept

- ***IGs are held to a higher standard!***
- ***Be Right*** **the first time -- every time!**
- **Don't shoot from the hip!**
- **IGs are always above board *but* discreet**
- **IGs are never off the record**
- **Moral courage is mandatory**
- **Integrity is essential!**

IG Concept

- ***IGs who:***
  - ✓ **Can think**
  - ✓ **Are proactive**
  - ✓ **Are fire breaks rather than fire hoses**
  - ✓ **Scout and not spy**
  - ✓ **Do not walk past mistakes**
  - ✓ **Tell the good news often**
  - ✓ **Are not “Nay-Sayers”**
  - ✓ **Teach and train**
  - ✓ **Are credible**



IG Concept

Little-Known Facts
Inspectors General . . .

- **Always encourage complainants to use the chain of command first**
- **Normally offer allegations to subordinate Commanders for action rather than conduct an IG investigation**
- **Use discretion when passing information to the CG**
  - **Confidentiality**
- **Are here to help you**
- **Do not wear a 'black hat'**
- **Do have friends!**

Categories of IGs

ELO

Table 2-1, AR 20-1

DA civilians (GS-12 and above) may be designated as Detailed IGs (para 2-2 c)

IG Concept

Category	IG Functions May Lead / *Do*
• **Detailed IG** (Commissioned Officer / WO)	• All functions / *All functions*
	• Assist & Train / *All*
• **Assistant IG** (WOs / NCOs / DACs)	• None / *Assists with inspections and investigations (must attend the IG school after 180 days!)*
• **Temporary Asst IG** (Subject-matter expert)	• None / *Assistance only. Detailed IG must supervise*
• **Acting IG** (Commissioned Officer AD)	• None / *None! Admin only!*
• **A…el**	

TIG may designate TIGS-trained Admin Support personnel to serve temporarily as Assistant IGs (para 2-2 g)

IG Duty Restrictions

AR 20-1, paragraph 2-6

ELO

IG Concept

***Detailed, Assistant,* or *Temporary Assistant IGs* will *NOT*:**

- **Be assigned to any non-IG assistance or evaluation functions**
- **Be assigned duties that may disqualify them from conducting impartial inquiries / investigations**
- **Be assigned as investigating officers for other than IG investigations**
- **Serve on admin separation boards, courts-martial, contracting awards boards, promotion boards, etc.**

Bottom line: Avoid conflicts of interest or impartiality!

IG Concept

The IG Oath

- **Established in 1981 to strengthen the bond between the Commander and the IG**
- **Emphasizes special trust and responsibility**
- **Normally administered by the Commander**
- **Taken by all categories of IGs and everyone working in the IG office**
- **Documented with a certificate**

"… uphold the standards … without prejudice or partiality …"

Summary

- **Describe the organization of the Army IG syst**
- **Identify the four functions that IGs perform.**
- **Describe the legal origins of IG authority.**
- **Describe the role of the IG.**
- **Describe IG technical channels.**

Summary
(continued)

- **Describe the IG's sphere of activity.**

- **Describe the categories of IGs, and outline the responsibilities of each category.**

- **Describe the duty restrictions and special considerations of Administrative Support Personnel.**

- **Describe the duty restrictions of IGs.**

- **Describe the IG oath.**

IG Concept and System Overview

QUESTIONS ?